Original Research Assessment of Greenhouse Gas Emissions in Winter Wheat Farms Using Data Envelopment Analysis Approach

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Abstract

Data envelopment analysis (DEA) has been recognized as a suitable tool for efficiency assessment of the economic and environmental performance of multiple similar units in the agri-food sector. In the present study, DEA methodologies were applied to 55 winter wheat farms in three farm sizes in Poland to benchmark the level of operational efficiency for each producer. Next, the potential reduction in the consumption levels of inputs were defined, and the environmental profits linked to these reduction targets were calculating. Our results indicate that 55% of the analysed farms operated efficiently. The technical efficiency scores of inefficient farms were 0.72 for small farms and 0.84 for medium and large ones. The production of 1 kg winter wheat results with average greenhouse gas (GHG) emissions of 0.448, 0.481, and 0.411 kg CO₂ eq. per kg of grain, for small, medium, and large farms, respectively. The performed analysis shows that GHG emissions per hectare depend on farm size and ranged from 2,378 kg CO₂ eq. for the small farms to 2,759 kg CO₂ eq. for large farms. The reduction of material input in inefficient farms, converted into environmental gains, resulted in GHG emissions reduction of 25.7, 29.0, and 28.6% for small, medium, and large farms, respectively. The estimated potential reduction of global warming potential (GWP) according to the DEA for the whole sample ranged from 7 to 18%, and was dependent on farm size. The major contributor to GWP was nitrous oxide field emissions (49-52%), followed by nitrogen fertilizer (31-33%), and diesel (11-13%). Raising operational efficiency is recommended for potential environmental improvement in the surveyed region.

Keywords: environmental impact, global warming potential, nitrogen fertilizer, nitrous oxide field emissions, technical efficiency

Introduction

Wheat is cultivated under a wide range of environmental conditions. Its production is the third largest of cereals globally, after maize and rice [1]. In Poland, wheat is one of the most important cereal crops, sown on 1.5 million ha, which represents 15% of the country's arable land [2]. Annual production amounts to 9.4 million tons, with an average yield of 4,140 kg per hectare in 2011 [2]. In general, in order to get higher productivity, farmers are using input resources in excess and inefficiently. Historically, the efficient use of inputs in agriculture did not have priority,

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but recently this has gained in importance. This is due to increasing population, limited availability of arable land, and the desire for higher living standards.

Agricultural practices contribute to greenhouse gas emissions (GHG) [3]. The FAO predicts that agricultural production will have increased 60% by 2050, which will lead to a 30% increase in GHG emissions from the agricultural sector [4]. In Poland, the agriculture sector accounts for 10.7% of the country's GHG emissions. Currently, government institutions, farmers, and research communities are interested in a more sustainable agriculture that would implement technologies that have a positive effect on humans and the environment [5]. DEA is a non-parametric method used for the estimation of resource use efficiency, and ranking of production units on the basis of their performance [6, 7]. This methodology has been used for the evaluation of environmental and economic farming performance [8-11].

The scope of our study was to analyse all the resources and processes that contribute to the production of one kg of wheat and associated GHG emissions. The production information used in our study was based on surveys conducted among producers.

The analysis was performed in order to:

- 1) show operationally inefficient wheat cultivation farms
- quantify the environmental benefits of moving toward operational efficiency in winter wheat production, with the reduction of inputs decreasing potential environmental impacts.

The environmental output measurements were GHG emissions associated with each resource.

Material and Methods

Site Description and Data Collection

The study was carried out in the Wielkopolska and Silesian regions in south-central Poland. In this region, the cereal sowing area accounts for about 33% of the country's cereal sowing area. An average agricultural holding size in the analysed region is 16.4 ha, whereas in Poland it is 10.5 ha. The data used in the study was collected from 55 wheat farms using face-to-face questionnaires in 2010. The sample farms comes from the Farm Accountancy Data Network (FADN). The selected farms were divided into three groups based on wheat cultivation area. The first group includes farms where wheat is cultivated on an area lower or equal to 10 ha. This group consists of 19 farms, which were marked with the letter A. The second group also includes 19 farms ranging from 11 to 20 ha, and is indicated with the letter B. The third group contains agricultural holdings whose surface is higher than 20 ha and lower or equal to 50 ha. This group includes 17 farms, and is marked with C. The collected data were related to various inputs (diesel, machinery, seeds, chemical fertilizers, pesticides), soil quality, crop cultivation practices, crop rotation, and output (wheat yield). Crop rotation included winter wheat, rape, maize, and triticale. In order to evaluate the effect of farm size on GHG emissions and calculate the best wheat farm size, the farms were classified into three levels of wheat cultivation: (small ≤ 10 ha), medium (between 11 and 20 ha), and large (between 21 and 50 ha). To find out the calculated values for the three groups of farms – which are significantly different – all data were checked for normal distribution by the Shapiro-Wilk test. When the variables were normally distributed within each group, ANOVA test was applied at 95% confidence.

Data Envelopment Analysis

The DEA model has been described in detail by several authors [6]. Production units are called decision-making units (DMUs) in DEA terminology [7]. In this study, the DMU was a farm that produces winter wheat (1 farm= 1 DMU) with the same inputs in the production process. For the assessment of a unit, an input-oriented efficiency model with constant return to scale (CRS) was selected. Input-oriented models are applied in agriculture because there is only one output, but numerous inputs. Furthermore, in the production system, a farmer has more control over input rather than output levels [12]. Some researchers state that GHG productions rely on finite and scarce resources, therefore the application of an input-oriented DEA models is more appropriate for reducing input consumption rates in production systems [10, 13]. Different variables could be used to establish the farms' efficiency. In our analysis, wheat productivity is based on the following resources: chemical fertilizers (kg·ha⁻¹), pesticides (kg·ha⁻¹ of active ingredient), diesel (l·ha⁻¹), seeds (kg·ha⁻¹), and output, which is expressed as wheat yield (kg·ha⁻¹).

In order to calculate inputs and outputs, the collected data were entered into an Excel 2010 spreadsheet. To perform a DEA model DEAFrontier Software was used. All the selected operational items for DEA, implantation was assumed to be independent from each other.

Calculation of GHG Emissions

Operational and environmental patterns in wheat cultivation were evaluated based on five steps. The first step (I) includes data collection from wheat farms, the second step (II) is calculation of GHG of each farm, and the third (III) involves DEA study for the farms. The operational efficiency of each DMU is calculated along with the projection of inefficient DMUs (target values). Target DMUs refers to virtual units that consume less input and produce more outputs. In the fourth step (IV), calculation of GHG emissions of the target DMU is performed. The last step (V) involves the interpretation of the results. The potential environmental impacts for the virtual DMUs are compared with the current ones. The differences present quantitative measurements of the environmental impact of operational inefficiency in wheat cultivation.

winter wheat production based on faint size.							
	Farm size groups (ha)						
Item (unit)	Small (<10)	Medium (11-20)	Large (21-50)				
Average farm size (ha)	8.2 (1.9) ^a	15.2 (2.7) ^b	29.3 (8.0) ^c				
A. Inputs							
Seeds (kg)	198 (31) ^a	219 (90) ^a	188 (30) ^a				
N fertilizer (kg)	126 (51) ^a	150.2 (60) ^a	150.8 (43) ^a				
P ₂ O ₅ fertilizer (kg)	45.4 (25.1) ^a	48.2 (22.8) ^a	46.7 (22.9) ^a				
K ₂ O fertilizer (kg)	53.3 (33.2) ^a	53.3 (38.1) ^a	45.3 (40.5) ^a				
Pesticides (kg)	1.8 (0.6)ª	1.6 (1.1) ^a	1.8 (0.9)ª				
Diesel (1)	98 (10.9) ^a	91 (10.4) ^a	95 (6.1) ^a				
Labour (h)	8.5 (1.5) ^a	9.2 (1.7) ^a	8.5 (1.5) ^a				
B. Output							
Yield (kg)	5,309 (1,187) ^a	5,508 (1,458) ^b	6,718 (1,042)°				

Table 1. Basic statistics descriptive of inputs and outputs for winter wheat production based on farm size.

Values with different letters show significant difference of average at 5% (Scheffe test)

Numbers in parentheses indicate standard deviation

The GHG emissions in this study include emissions of nitrous oxide (N₂O), carbon dioxide (CO₂), and methane (CH₄) from winter wheat cultivation expressed as CO₂ equivalent emissions using the global warming potential (GWP) with values of 296 for N₂O, 1 for CO₂, and 23 for CH₄ [14]. Two metrics of GWP were determined: first, the quantity of GHG related to the production of a kg of grain, and second the quantity of GHG emissions in each farm was estimated by BioGrace calculation tool v. 4c [15].

Results and Discussion

Inventory Data

Table 1 presents a summary of inputs and outputs for three farm sizes of wheat production. Data refers to annual operation of each winter wheat farm. The average sizes of farms were 8.2, 15.2, and 29.3 ha for small, medium, and large farms, respectively (Table 1). The difference in farm sizes were statistically significant. There were not statistical difference in inputs (seeds, fertilizers, pesticides, diesel, and labour) between analysed farms. The small farms are characterised by lower input of nitrogen (N) and phosphorus (P2O5) fertilizers, and the highest input of diesel fuel compared to medium and large farms. Wheat yield of small farms was 5,309 kg, medium was 5,508 kg, and large was 6,718 kg. The differences between wheat yields were statistically significant. Data availability was the essential requirement in our research. Therefore, data collection was a very important phase of the study because it determined the ability to perform analyses in accordance with the applied methodology.

Current Environmental Characterization

In this study, average GHG emissions were calculated per kg of winter wheat grain and per ha. The production of 1 kg winter wheat results with average emissions of 0.448, 0.481, and 0.411 kg CO_2 eq. per kg of grain for A, B, and C farms, respectively (Table 2).

Our results may be compared to the results from other countries. In the Czech Republic, GWP of wheat production was reported at 0.558 and 0.462 kg CO_2 eq.·kg⁻¹ for conventional and organic farming, respectively [16]. These differences are the consequences of different cultivation systems. In organic farming, farmers do not apply mineral fertilizers and pesticides, therefore GWP is lower than

	Farm size groups					Farm size groups			
Item (unit)	А	В	С	А	В	С	А	В	С
	GHG (kg CO ₂ eq.) per kg			GHG (kg CO ₂ eq.) per ha			Percentage (%)		
Diesel	0.058	0.052	0.044	309.2	286.5	298.5	13.00	10.82	10.82
Nitrogen (N)	0.140	0.160	0.132	741.8	883.9	887.4	31.19	33.38	32.17
Potassium (K ₂ O)	0.006	0.006	0.004	30.7	330.7	26.1	1.29	1.16	0.95
Phosphorus (P ₂ O ₅)	0.009	0.009	0.007	45.9	48.7	47.1	1.93	1.84	1.71
Pesticides	0.004	0.003	0.003	20.5	18.7	20.8	0.86	0.71	0.76
Seeding material	0.010	0.008	0.008	54.8	41.4	51.9	2.30	1.56	1.88
Filed N ₂ O emissions	0.221	0.243	0.212	1,175.1	1,337.9	1,426.7	49.42	50.53	51.72
Total GHG emissions	0.448	0.481	0.411	2,378.0	2,647.8	2,758.5	100.0	100.0	100.00

Table 2. Greenhouse gas emissions of inputs per kg of wheat and per ha production based on farm size. Percentages share individual emissions in the total emissions.

A-small farms, B-medium farms, C-large farms

DMU TE -		Operational reduction (%)								
		Seeds	N fertilizer	P ₂ O ₅ fertilizer	K ₂ O fertilizer	Pesticides	Diesel	Labour		
1A	0.76	42.46	24.09	74.65	96.10	26.54	24.09	24.09		
2A	0.59	40.93	40.93	58.62	42.38	51.63	40.93	40.93		
5A	0.64	45.64	35.98	68.51	89.45	50.02	35.98	35.98		
6A	0.39	60.89	60.89	80.00	91.02	60.89	61.84	65.11		
9A	0.79	29.50	20.79	20.79	50.87	23.53	20.79	20.79		
10A	0.89	10.81	10.81	10.81	75.53	10.81	17.62	30.97		
11A	0.71	30.52	28.68	57.68	64.27	29.85	28.68	28.68		
12A	0.80	34.00	22.31	84.77	-	19.85	24.27	44.76		
17A	0.94	5.90	5.90	5.90	48.99	31.91	5.90	15.20		
Average ^a	0.72	33.40	27.82	51.30	62.07	33.89	28.90	34.06		
Average ^b	0.87	15.82	13.18	24.30	29.40	16.05	13.69	16.13		
4B	0.88	12.02	38.57	12.02	47.31	64.98	19.38	12.02		
9B	0.49	50.66	50.66	50.66	76.71	76.33	65.26	60.60		
11B	0.87	20.18	13.37	13.37	39.00	23.98	20.71	13.37		
15B	0.94	6.25	36.53	45.96	68.32	6.25	43.41	6.25		
16B	0.98	2.02	2.02	2.02	33.36	51.36	2.72	2.02		
19B	0.86	6.67	7.45	6.54	13.95	11.74	7.99	4.97		
Average ^c	0.84	16.30	24.77	21.76	46.44	39.11	26.58	16.54		
Average ^d	0.95	6.67	7.45	6.54	13.95	11.74	7.99	4.97		
1C	0.96	33.34	3.55	11.83	21.63	3.55	37.27	29.41		
3C	0.95	14.01	45.23	5.18	36.78	5.18	15.09	20.28		
4C	0.77	22.88	35.31	66.60	70.48	29.41	22.88	27.03		
5C	0.79	44.88	32.95	35.29	-	29.13	21.29	21.45		
6C	0.85	50.44	17.93	14.63	-	23.70	38.82	14.63		
9C	0.89	12.30	27.15	10.71	10.71	35.13	33.80	10.71		
10C	0.92	18.76	60.76	7.90	53.95	7.90	31.66	24.41		
14C	0.83	30.74	28.63	16.77	16.77	73.09	30.30	16.77		
16C	0.57	51.67	43.50	56.94	71.29	55.13	50.47	43.50		
17C	0.88	39.04	37.62	11.98	0.50	11.98	33.86	30.02		
Average ^e	0.84	31.81	33.26	23.78	28.21	27.42	31.54	23.82		
Average ^f	0.91	18.71	19.57	13.99	16.59	16.13	18.56	14.01		

Table 3. Technical efficiency (TE) and percentages of operational reduction for the small, medium, and large inefficient farms.

DMU - decision making unit

^a Average of medium inefficient farms.

^b Average of farms of the whole medium sample.

° Average of medium inefficient farms.

 $^{\rm d}\,{\rm Average}$ of farms of the whole medium sample.

^e Average of large inefficient farms.

 $^{\rm f}\mbox{Average}$ of farms of the whole large sample.

in conservation and conventional systems. The results from UK and Danish field trials show that the average GHG emissions when optimum N rate and disease control were applied amounted to 0.417 kg CO₂ eq./kg, compared to 0.546 kg CO₂ eq. kg⁻¹ when disease control was not applied [17]. The performed analysis shows that GHG emissions per hectare depend on farm size and ranged from 2,378 kg CO₂ eq. for small farms to 2,759 kg CO₂ eq. for large farms (Table 2). The average GHG emission of medium farms was 2,664 kg CO₂ eq. ha⁻¹. Khoshnevisan et al. [18] reported total emissions of 2,711.58 kg CO_2 eq. ha⁻¹ for wheat production. In Berry et al. [17] studies, GHG emissions were very strongly influenced by the N fertilizer rate and disease control, and ranged from 1,498 to 4,176 kg CO₂ eq. ha⁻¹. Therefore, it is very important to define optimum doses of agricultural inputs in order to limit unnecessary outlays and decrease emissions. The highest values of total GHG emissions belonged to N₂O field emissions and N applications. The filed N₂O emissions include emissions from the production of fertilizers. The sequence in all farm groups of other inputs to GWP was the following: diesel, seeds, phosphorus, potassium, and pesticides. The emissions varied depending on farm size (Table 2).

DEA Performance

The technical efficiency (TE) of inefficient DMU is presented in Table 3. From the 19 small farms, 10 (53%) farms were recognized as efficient farms and the remaining 9 (47%) were inefficient. The average TE of small inefficient farms was calculated as 0.72. This indicates that the same yields could be produced with 72% of the inputs if these farms were operating efficiently. Another interpretation of these results is that 28% of overall resources could be saved by raising the performance of these DMUs to the highest level. Similar analyses were performed for medium and large farms. Based on our calculations, both in medium and large farms, 16% of inputs could be saved by improving efficiency of ineffective farms. In Estonian grain farms, the average TE varied from 0.70 to 0.78 [19]. Based on the literature, the technical efficiency scores of 0.89 for soybean farms [12], 0.80 and 0.71 for rice planted in spring and summer [20], and 0.78 for apple producers [21] were reported. Our results indicate that not all farmers are familiar with the correct technologies of winter wheat production or they did not apply them at a proper time in the optimum quantity. Furthermore, target operating points were estimated (operational benchmarking). These points that transform inefficient units into efficient ones are also presented in Table 3 as percentage reduction of the current operational values.

Target Environmental Characterization

After identifying inefficient farms and reduction levels of used inputs, a new environmental characterization was calculated for all inefficient farms. It was done in order to determine their potential environmental impacts if they

Table 4. GWP in target conditions for inefficient winter wheat farms per kg of wheat and per hectare and percentage reduction of GWP in target conditions.

DMU	GWP (kg CO ₂ eq./kg)	GWP (kg CO ₂ eq./ha)	Reduction (%)
1A	0.329	1,380	24.2
2A	0.393	1,572	36.4
5A	0.328	1,146	31.7
6A	0.323	808	55.9
9A	0.428	2,138	18.6
10A	0.490	2,697	10.7
11A	0.298	1,490	25.3
12A	0.332	1,492	22.5
17A	0.430	2,577	5.9
Average ^a	0.372	1,700	25.7
4B	0.512	2,611	33.5
9B	0.504	1,182	38.8
11B	0.399	1,772	10.5
15B	0.449	1,795	27.1
16B	0.451	2,928	3.0
19B	0.371	1,781	61.3
Average ^b	0.448	2,011	29.0
1C	0.268	1,648	8.5
3C	0.293	2,051	33.9
4C	0.378	2,371	31.0
5C	0.361	2,146	27.2
6C	0.341	1,705	19.0
9C	0.348	2,244	22.9
10C	0.288	1,881	49.2
14C	0.347	2,215	24.5
16C	0.290	1,415	38.9
17C	0.315	2,061	30.9
Average ^c	0.323	1,974	28.6

^aAverage of inefficient small farms.

^bAverage of inefficient medium farms.

^cAverage of inefficient large farms.

were managed under efficient operational conditions. The results in Table 4 show that if inefficient farms are run under optimal operational conditions, the reduction of GHG emissions is possible without any changes in the output level. In small farms, the reduction of GHG per kg of wheat varied from 0.298 to 0.490 kg CO_2 eq., with average values for the group of 0.372 kg CO_2 eq. In this group, the

	GWP reductions (%)					
Item (unit)	Farm size groups					
	А	В	С			
Diesel	14	11	19			
Nitrogen (N)	11	9	23			
Potassium (K ₂ O)	43	22	38			
Phosphate (P_2O_5)	35	6	20			
Pesticides	18	23	22			
Filed N ₂ O emissions	8	6	15			
Total GHG emissions	11	7	18			

Table 5. Average percentage reduction in environmental impacts based on farm size.

A - small farms, B - medium farms, C - large farms

reduction in GHG emissions per hectare ranged from 808 to 2,697 kg CO₂ eq. with an average of 1,700 kg CO₂ eq. Percentage decrease of GWP inefficient small farms oscillated between 6 and 56%, with average value of 25.7% due to a wide range in TE (39-94%). In medium farms, the decrease of GHG emissions ranged from 0.371 to 0.512 kg CO₂ eq. and from 1,182 to 2,928 kg CO₂ eq. per kg of wheat and per ha, respectively. Percentage reduction of GWP inefficient medium farms varied between 3 and 61%, with an average of 29%. In large farms, the average potential reduction of GHG is very similar (28.6%) to medium farms, but higher than in small ones.

The results regarding the entire set of farms (Table 5) show an important potential environmental improvement of each operation and total GWP. In large farms the estimated potential of GWP reduction is 18%, and 11% and 7% in small and medium farms, respectively. The potential reduction of GHG emission is linked with lower inputs. In large farms, operational reduction ranged from 19% (for diesel) to 38% (for potassium). Lower operational reduction was noticed for medium farms, e.g. from 6% (for phosphate) to 23% (for pesticides). The results obtained for input reduction in small farms varied from 11% (for N fertilizer) to 43% (for phosphate). In all farms, lower N fertilizer input had an impact on N₂O field emission decreases. Our research shows that by increasing their operational efficiency, farms could have an impact on potential environmental improvement. This could be done by implementation of new production techniques that are more efficient.

In summary, the application of a combination of DEA and Biograce 4c tool shows the usefulness of benchmarking operational inputs and global warming impact in winter wheat cultivation in south-central Poland. The results of this study present the potential for GWP reduction in the surveyed farms. The performed analysis confirmed that the main contributor to GHG emissions in winter wheat cultivation is N_2O field emissions and the application of N fertilizer. These emissions could be reduced by the application of optimal N fertilizer dose.

Conclusions

The present study was aimed at analysing the operational and environmental performance of winter wheat production in Poland's Wielkopolska and Silesian regions. A sample of 55 farms classified into three categories as small, medium, and large was analysed using the defined DEA methodology and Biograce 4c tool. From all farms considered for analysis, 25 were found to be inefficient. The share of inefficient farms was 47, 32, and 58% for small, medium, and large farms, respectively. The technical efficiency scores of inefficient farms were 0.72 for small farms and 0.84 for medium and large ones. The analysis shows that inefficient farms may save their resources by 28 (small farms) and 16% (medium and large), adopting the best practices of high-performing benchmarks. These results indicate that not all farmers are familiarized with the correct technologies of winter wheat production or they did not apply them at a proper time in the optimum quantity. The projection computed for the inefficient farms after improving their efficiency resulted in lower environmental impact. Environmental reductions of the GWP of inefficient farms were calculated as 25.7, 29.0, and 28.6% for small, medium, and large farms, respectively. The estimated potential reduction of GHG emissions according to DEA for the whole sample of farms ranged from 7 to 18%, and was dependent on farm size. The results of GHG analysis indicate that in total greenhouse emissions the highest share was field N₂O emissions (49-52%), followed by nitrogen fertilizer (31-33%) and diesel fuel (11-13%). The application of DEA and the Biograce 4c tool has proven to be a suitable method for quantifying operational and environmental performance. This technique could be used as a management tool to support decision-making processes.

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